

ONONDAGA COUNTY
APU 2013
APPENDIX K
Child Care Administration

Describe how your local district is organized to administer the child care program, including any functions that are subcontracted to an outside agency.

1. Identify the unit that has primary responsibility for the administration of child care for:

Public Assistance Families: Jobsplus!
Transitioning Families: Jobsplus! and Day Care
Income Eligible Families: Day Care
Title XX: Day Care and Children's Division

2. Provide the following information on the use of New York State Child Care Block Grant (NYSCCBG) Funds.

FFY 2009-2010 Rollover funds (available from the NYSCCBG ceiling report in the claiming system):\$574,263.00
Estimate FFY 2010-11 Rollover Funds\$
Estimate of Flexible Funds for Families (FFS) for child care subsidies.....\$
NYSCBG Allocation 2011-12\$13,263,701.00
Estimate of Local Share\$6,000,000.00
Total Estimated NYSCCBG Amount\$19,300,000.00
a. Subsidy\$18,300,000.00
b. Other program costs excluding subsidy\$
c. Administrative costs\$1,000,000.00

3. Does your district have a contract or formal agreement with another organization to perform any of the following functions?

Function	Organization	Amount of Contract
<input type="checkbox"/> Eligibility screening		
<input checked="" type="checkbox"/> Determining if legally-exempt providers meet State-approved additional standards	Child Care Solutions	\$46,200
<input checked="" type="checkbox"/> Assistance in locating care	Child Care Solutions	\$73,186
<input type="checkbox"/> Child Care Information Systems		
<input type="checkbox"/> Other		

APPENDIX L

Other Eligible Families if Funds are Available (Required)

Listed below are the optional categories of eligible families that your district can include as part of its County Plan. Select any categories your county wants to serve using the NYSCCBG funds and describe any limitations associated with the category.

Optional Categories	Option	Limitations
1. Public Assistance (PA) families participating in an approved activity in addition to their required work activity.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
2. PA families or families with income up to 200% of the State Income Standard when the caretaker is: <ul style="list-style-type: none"> a) participating in an approved substance abuse treatment program b) homeless c) a victim of domestic violence d) in an emergency situation of short duration 	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	TA families-none NTA families-not eligible Thirty days can be extended after review(if medical verification documents return to work in 15 days, i.e.maximum of 45 days). Only for active cases, not applicants
3. Families with an open child protective services case when child care is needed to protect the child.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	none
4. Families with income up to 200% of the State Income Standard when child care services are needed because the child's caretaker:		
a) is physically or mentally incapacitated	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Must have been employed and is expected to return to work:short term duration only, usually 30 days or less, can be extended after review if medical verification document return to work in 15 days maximum of 45 days or as part of a Children's Services Case where a need for child

Optional Categories	Option	Limitations
b) has family duties away from home	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	care is determined by the Children's Service Administration. Only for active cases not at time of application
5. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to actively seek employment for a period up to six months.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Two (2) weeks can be extended after review, must provide verification of actively seeking employment Only for active cases, not at time of application
6. PA families where a sanctioned parent is participating in unsubsidized employment, earning wages at a level equal to or greater than the minimum amount under law.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Verified employment and transportation hours only
7. Families with income up to 200% of the State Income Standard when child care services are needed for the child's caretaker to participate in: a) a public or private educational facility providing a standard high school curriculum offered by or approved by the local school district	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	One time per school year, must maintain 80% attendance, must maintain passing grades in all subject. Continuation of funding will be made contingent on the student's successful completion of the semester or class, with attendance and grades submitted to the child care worker for review.
b) an education program that prepares an individual to obtain a NYS High School equivalency diploma	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	One time per school year, must maintain 80% attendance, must maintain passing grades in all subjects. Continuation of funding will be made contingent on the student's successful completion of the semester or class, with attendance and grades submitted to the child

Optional Categories	Option	Limitations
		care worker for review.
c) a program providing basic remedial education in the areas of reading, writing, mathematics, and oral communications for individuals functioning below the ninth month of the eighth grade level	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
d) a program providing literacy training designed to help individuals improve their ability to read and write	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
e) English as a second language (ESL) instructional program designed to develop skills in listening, speaking, reading, and writing the English language for individuals whose primary language is other than English	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
f) a two-year full-time degree granting program at a community college, a two-year college, or an undergraduate college with a specific vocational goal leading to an associate degree or certificate of completion	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The working parent or caretaker must meet the following criteria at the time an application is made:</p> <ol style="list-style-type: none"> 1. Must be a single parent or caretaker with a child in the household who is under the age of 13; if two parent household, applicant must provide information as to why the second parent cannot care for the child during the training hours. 2. Must be working a minimum of 20 hours per week in unsubsidized employment in 9 of the last 12 months or has graduated from high school or received his/her GED within the last 6 months prior to application. 3. Must be a resident of Onondaga County but can work outside of the county. 4. Must be planning on

Optional Categories	Option	Limitations
		<p>enrolling in, or already enrolled in, an education or training program which is vocational in nature or which leads to a vocational goal with the hard skills necessary for employment. High School Equivalency programs do qualify. A list of approved programs will be available at the Day Care Services Unit.</p> <p>5.The applicant must certify that he/she will NOT decrease his/her hours below a minimum of 20 hours to participate in the training and will not increase his/her financial need to qualify for Temporary Assistance.</p>
<p>g) a training program, which has a specific occupational goal and is conducted by an institution other than a college or university that is licensed or approved by the State Education Department</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>criteria see above "f"</p>
<p>h) a prevocational skill training program such as a basic education and literacy training program</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>i) a demonstration project designed for vocational training or other project approved by the Department of Labor</p> <p>Note: The parent/caretaker must complete the selected programs listed under number seven within 30 consecutive calendar months. The parent/caretaker cannot enroll in more than one program.</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	
<p>8. PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year program other than one with a specific vocational sequence (leading to an associate's degree or certificate of completion and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity) as long as the</p>	<p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	

Optional Categories	Option	Limitations
parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.		
9. PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a two-year college or university program (other than one with a specific vocational sequence) leading to an associate's degree or a certificate of completion that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
10. PA recipients and low-income families with incomes up to 200% of the State Income Standard who are satisfactorily participating in a four-year college or university program leading to a bachelor's degree and that is reasonably expected to lead to an improvement in the parent/caretaker's earning capacity as long as the parent(s) or caretaker is also working at least 17½ hours per week. The parent/caretaker must demonstrate his or her ability to successfully complete the course of study.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
11. Families with incomes up to the 200% of the State Income Standard when child care services are needed for the child's caretaker to participate in a program to train workers in an employment field that currently is or is likely to be in demand in the future, if the caretaker documents that he or she is a dislocated worker and is currently registered in such a program, provided that child care services are only used for the portion of the day the caretaker is able to document is directly related to the caretaker engaging in such a program.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

APPENDIX M

Reasonable Distance, Very Low Income, Family Share, Case Closing and Openings, Recertification Period, Fraud and Abuse Control Activities, Inspections (Required)

Reasonable Distance

Define “reasonable distance” based on community standards for determining accessible child care.

- The following defines “reasonable distance”: Describes the maximum reasonable distance defined in time or mileage of both that a Temporary Assistance recipient would be expected to travel from home to work activity with a stop at a child care provider along the way. This information is expressed as a one way, not a round, trip.
- Public Transportation: In the case of any parent/caretaker/child who uses Onondaga County's Centro bus system for transportation, distance should not exceed 22 miles. This distance represents the average of farthest distances from downtown Syracuse East, West, North and South, which Centro serves in areas where we currently have sites available.
- Personal Vehicle: In the case of a parent who uses private transportation, distance should not exceed 30 miles. This distance represents an average of the distance across the north-south and east-west points of the county where again, activity sites are located.
- Walking: In the case of any child six years or younger, walking either from home to the provider's location, or from the provider to school or to the school bus, should not exceed more than 1/2 mile. This will hold true for older children with medically documented special needs with the case manager arranging the necessary child care.
- Note: The district makes every attempt to arrange child care and activity as close to home as possible, however, it is still maintained that the client has the final say as to where child care is located as long as the child care arrangements are deemed to be legal.

For the most part, the district automatically allows one-half hour on either end of the day for transportation and dropping off or picking up children, However, all cases are handled in an individual manner and adjustments are made for more or less transportation time as needed.

- Describe any steps/consultations made to arrive at your definition:
- 1. The current listing of work activity sites utilized by Onondaga County's employment and training program, Jobsplus!.
- 2. A map of Onondaga County redrawn with Jobsplus! as the center point.
- 3. Examination of the current public transportation system (Centro's) bus line.
- 4. Discussion of current guidelines used by the Child Care Council of Onondaga County
- 5. Examination of current travel patterns required for participation in Jobsplus.

Note: Jobsplus! is located in downtown Syracuse, approximately five blocks from the main public bus exchange through which most bus connections are made.

Very Low Income

Define “very low income” as it is used in determining priorities for child care benefits.

“Very Low Income” is defined as 125% of the State Income Standard.

Family Share

“Family share” is the weekly amount paid towards the costs of the child care services by the child’s parent or caretaker. In establishing family share, your district must select a percentage from 10% to 35% to use in calculating the family share. The weekly family share of child care costs is calculated by applying the family share percentage against the amount of the family’s annual gross income that is in excess of the State Income Standard divided by 52.

Family Share Percentage selected by the county 35%.

Note: The percentage selected here must match the percentage selected in Title XX Program Matrix in WMS.

Case Closings

The district must describe below how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Describe below how districts will select cases to be closed in the event that there are insufficient or no funds available.

1. Identification of local priorities in addition to the required federal priorities (select one).
 - The district has identified local priorities in addition to the required federal priorities (Complete Section 2)
 - The district has not identified local priorities in addition to the required federal priorities (Complete Section 3).

2. Describe how priority is given to federally mandated priorities and describe local priorities. If all NYSCCBG funds are committed, the district will discontinue funding to those families that have lower priorities in order to serve families with higher priorities. Describe in the space below how the district will select cases to be closed in the event that there are insufficient or no funds available.
 - a. The district will select cases to be closed based ONLY on income.
 - No.
 - Yes. Check 1 or 2 below.
 - 1) The district will close cases from the highest income to lowest income.
 - 2) The district will close cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:
start at 200% to 125%

b. The district will select cases to be closed based ONLY on categories of families.

No.

Yes. List the categories in the order that they will be closed, including the optional categories selected in Appendix L:

c. The district will select cases to be closed based on a combination of income and family category.

No.

Yes. List the categories and income groupings in the order that they will be closed:

d. The district will select cases to be closed on a basis other than the options listed above.

No.

Yes. Describe how the district will select cases to be closed in the event that there are insufficient funds to maintain the district's current case load:

e. The last cases to be closed will be those that fall under federal priorities. Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

Very low income Rank 1 Rank 2

Families that have a child with special needs Rank 1 Rank 2

3. If all NYSCCBG funds are committed, case closings for families that are not eligible under a child care guarantee and are not a federally mandated priority must be based on the length of time in receipt of services. The length of time used to close cases may be based either on the shortest or longest time the family has received child care services, but must be consistent for all families.

a. Identify how the district will prioritize federal priorities. Cases that are ranked 1 will be closed last.

Very low income Rank 1 Rank 2

Families that have a child with special needs Rank 1 Rank 2

b. The district will close cases based on the federal priorities and the amount of time the family has been receiving child care services.

Shortest time receiving child care services

Longest time receiving child care services

4. The district will establish a waiting list for families whose cases were closed because our county did not have sufficient funds to maintain our current caseload.

- No.
- Yes. Describe how these cases will be selected to be reopened if funds become available:

Case Openings

Describe below how priority is given to federally mandated priorities and how the district will select cases to be opened in the event that insufficient funds are available.

1. The first cases to be opened will be those that fall under the federal priorities.
Identify how your district will prioritize federal priorities. Cases that are ranked 1 will be opened first.
Very low income Rank 1 Rank 2
Families that have a child with special needs Rank 1 Rank 2

2. The district will select cases to be opened based ONLY on income.
 No.
 Yes. Check 1 or 2 below.
 - 1) The district will open cases from the highest income to lowest income.
 - 2) The district will open cases based on income bands. Describe the income bands, beginning at 200% of the State Income Standard and ending at 100% of the State Income Standard:
from 200% down to 125%

3. The district will select cases to be opened based ONLY on category.
 No.
 Yes. List the categories in the order that they will be opened, including the optional categories selected in Appendix L:

4. The district will select cases to be opened based on a combination of income and category of family.
- No.
- Yes. List the categories and income groupings in the order that they will be opened:
5. The district selects cases to be opened on a basis other than the options listed above.
- No.
- Yes. Describe how the district will select cases to be opened in the event that there are not sufficient funds to open all eligible families:
6. The district will establish a waiting list when there are not sufficient funds to open all eligible cases.
- No.
- Yes. Describe how these cases will be selected to be opened when funds become available:

The district's recertification period is every six months twelve months

Fraud and Abuse Control Activities

Describe below the criteria the district will use to determine which child care subsidy applications suggest a higher than acceptable risk for fraudulent or erroneous child care subsidy payment in addition to procedures for referring such applications to the district's front-end detection system.

The following situations will be referred to FEDS: False address given for provider and/or client, New employer/new earnings not reported to the agency, prior history of denial or case closing (or overpayments) resulting from an investigation, provider violations (e.g., improper billing) expenses exceed income without reasonable explanation, client failed to report unearned income, client has previously failed to accurately report income, household composition or other required eligibility information.

Describe the sampling methodology used to determine which cases will require verification of an applicant's or recipient's continued need for child care, including, as applicable, verification of participation in employment, education, or other required activities.

The Day Care Supervisor randomly reviews five newly opened child care cases per month per Intake worker selected randomly. The Day Care Supervisor reviews four recertifications and two six month recerts per worker per month randomly selected thru a Cognos report. The Day Care Supervisor will also review 10-15 pieces of daily work per worker per day for the Undercare staff. The day care supervisor review is an indept review of the continued need for child care, verification of participation in employment, education or other required activites. In addition, the day care workers review the indicators for referrals to the agency legal division at every 6 month

and year recert. Some of the indicators for investigation are low income vs high expense, and conflicting information.

Describe the sampling methodology used to determine which providers of subsidized child care services will be reviewed for the purpose of comparing the child care provider's attendance forms for children receiving subsidized child care services with any Child and Adult Care Food Program inspection forms to verify that child care was actually provided on the days listed on the attendance forms.

Administered and Monitored by Child Care Solutions We expect to have a universe of 100 childcare providers that are also in the child and adult care food program annually. The administrator of the child and adult care food program will send us a monthly report of providers that have had inspections. From this list we will select 13 providers at random. We will then request the inspection reports and compare them to the billing sheets submitted by the providers. Any provider previously selected will not be included in a subsequent sample for the year.

Inspections of Child Care Provider Records and Premises

The district may choose to make announced or unannounced inspections of the records and premises of a provider/program that provides child care for subsidized children for the purpose of determining whether the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district Per 18 NYCRR 415.4(h) (3). Does the district choose to make inspections of such child care providers/programs?

No.

Yes. Provide the details of your inspections plan below.

A. The following *types* of subsidized child care providers/programs are subject to this requirement:

Legally-Exempt Child Care

In-Home;

Family Child Care;

Group programs not operating under the auspices of another government agency

Group programs operating under the auspices of another government agency

Licensed or Registered

Family Day Care;

Registered School Age Child Care

Group Family Day Care; Day Care Centers; Small Day Care Centers;

B. The district *does* OR *does not*:

Reserve the right to make inspections *PRIOR to subsidized children receiving care* in a **home** where the inspection is for the purpose of determining whether the child care provider is in compliance with applicable laws and regulations and any additional requirements imposed on such a provider by the social services district.

C. The district will report violations of regulations as follows:

- Violations by a licensed or registered child care provider will be reported to the applicable Office of Children and Families (OCFS) Regional Office.
- Violations by an enrolled or enrolling legally-exempt child care provider will be reported to the applicable Enrollment Agency.

APPENDIX N
District Options (Required)

Districts have some flexibility to administer their child care subsidy programs to meet local needs. Check which options that your district wishes to include in your county plan. Complete the attached appendices for any area(s) checked.

1. The district has chosen to establish funding set-asides for NYSCCBG (complete Appendix O).
2. The district is using Title XX funds for the provision of child care services (complete Appendix P).
3. The district has chosen to establish additional local standards for child care providers (complete Appendix Q).
4. The district has chosen to make payments to child care providers for absences (complete Appendix R).
5. The district has chosen to make payments to child care providers for program closures (complete Appendix S).
6. The district has chosen to pay for transportation to and from a child care provider (complete Appendix T).
7. The district has chosen to pay up to 15% higher than the applicable market rates for regulated child care services that have been accredited by a nationally recognized child care organization (complete Appendix T).
8. The district has chosen to pay up to 15% higher than the applicable market rates for non-traditional hours (complete Appendix T).
9. The district has chosen to pay up to 75% of the enhanced market rate for legally-exempt family and in-home child care providers who have completed 10 hours of training, which has been verified by the Legally-Exempt Caregiver Enrollment Agency (complete Appendix T).
10. The district has chosen to pay for child care services while a caretaker who works the second or third shift sleeps (complete Appendix T).
11. The district has chosen to make payments to child care providers who provide child care services, which exceed 24 consecutive hours (complete Appendix U).
12. The district has chosen to include 18-, 19- or 20-year-olds in the Child Care Services Unit (complete Appendix U)
13. The district is seeking a waiver from one or more regulatory provisions. Such waivers are limited to those regulatory standards that are not specifically included in law (complete Appendix U).
14. The district has chosen to pay for breaks in activity for low income families (non public assistance families). Complete Appendix U.

15. The district has chosen to use local equivalent forms such as, but not limited to, child care application, client notification, and/or enrollment forms (attach copies of the local equivalent forms your district uses).

Any previous approvals for local equivalent forms will not be carried forward into this county plan. Therefore, any local equivalent forms a district wishes to establish or renew must be included in this plan and will be subject to review and approval by OCFS.

APPENDIX O
Funding Set-Asides (Optional)

Total NYSCCBG Block Grant Amount, Including Local Funds

Category:	\$
Category:	\$
Category:	\$
Category:	\$
Category:	\$
Category:	\$
Category:	\$

Total Set-Asides.....\$

Describe for each category the rationale behind specific set-aside amounts from the NYSCCBG (e.g., estimated number of children).

Category:
Description:

Category:
Description:

Category:
Description:

Category:
Description:

The following amounts are set aside for specific priorities from the Title XX block grant:

Category:	\$
Category:	\$
Category:	\$

Total Set-Asides (Title XX).....\$

Describe for each category the rationale behind specific amounts set aside from of the Title XX block grant (e.g., estimated number of children).

Category:

Description:

Category:

Description:

Category:

Description:

Category:

Description:

APPENDIX P
Title XX Child Care (Optional)

Enter projected total Title XX expenditures for the plan's duration:\$ 1,000,000.00

Indicate the financial eligibility limits (percentage of State Income Standard) your district will apply based on family size. Maximum reimbursable limits are 275% for a family of one or two, 255% for a family of three, and 225% for a family of four or more. Districts that are utilizing Title XX funds *only* for child protective and/or preventive child care services must not enter financial eligibility limits as these services are offered without regard to income.

Family Size: (2) % (3) % (4) %

Programmatic Eligibility for Income Eligible Families (Check all that apply.)

- Title XX: employment education/training
 seeking employment illness/incapacity
 homelessness domestic violence
 emergency situation of short duration
 participating in an approved substance abuse treatment program

Does the district apply any limitations to the programmatic eligibility criteria?

- Yes No

(See Technical Assistance #1 for information on limiting eligibility.)

If yes, describe eligibility criteria:

Does the district prioritize certain eligible families for Title XX funding?

- Yes No

If yes, describe which families will receive priority:

Does the district use Title XX funds for child care for open child protective services cases?

- Yes No

Does the district use Title XX funds for child care for open child preventive services cases?

- Yes No

APPENDIX Q

Additional Local Standards for Child Care Providers (Optional)

The district may propose local standards in addition to the State standards for legally-exempt providers who will receive child care subsidies. This appendix must be completed for **each** additional standard that the district wishes to implement.

1. Check or describe in the space provided below the additional local standards that will be required of child care providers/programs.
 - Verification that the provider has given the parent/caretaker complete and accurate information regarding any report of child abuse or maltreatment in which they are named as an indicated subject
 - Local criminal background check (see description under “Other” below)
 - Requirement that providers that care for subsidized children for 30 or more hours a week participate in the Child and Adult Food Care Program (CACFP)
 - Site visits by the local district
 - Other (please describe):

The district will conduct a local criminal history background check to verify the truthfulness of the attestations pertaining to the criminal history of providers of legally exempt in-home or family child care and for family child care programs, all household members 18 years of age and older residing at the residence where child care is provided.

In the enrollment package, the district will provide release forms, which will authorize local criminal history database checks. Providers of legally exempt in-home or family child care and for family child care programs, household members 18 years of age and older residing at the residence where child care is provided, will be required to sign a release which will allow our Department to conduct a local criminal history check. The provider will be instructed to return the enrollment package including the signed release form. If the providers of legally exempt in-home or family child care and for family child care programs, any household member 18 years of age and older residing at the residence where child care is provided refuses to sign an authorization for a local criminal background check, the enrollment package will be considered incomplete, the enrollment process cannot move forward and the enrollment package will be withdrawn by the enrollment agency.

When the enrollment agency receives the enrollment package they will notify the district of the receipt of the release. When the signed release is received, the district will arrange for a local database check for **criminal convictions** to be conducted through the online criminal background tool, CLEAR. If a search results in a **criminal convictions** history, the day care staff will provide only the **convictions history** information to the enrollment

agency, (EA) so that any discrepancies as to what was reported in the enrollment package by the potential provider and others are identified and the enrollment agency may take proper action.

2. Check below the type of child care program to which the additional standard will apply and indicate the roles of the persons to whom it will apply in cases where the standard is person-specific.

Legally-exempt family child care program. Check all that apply.

Provider Provider's Employee Provider's Volunteer

Provider's household member age 18 or older

Legally-exempt in-home child care program. Check all that apply.

Provider Provider's Employee Provider's Volunteer

Legally-exempt group providers not operating under the auspices of another government agency. Check all that apply.

Provider Provider's Employee Provider's Volunteer

Legally-exempt group providers operating under the auspices of another government or tribal agency. Check all that apply.

Provider Provider's Employee Provider's Volunteer

3. Districts are responsible for implementation of the additional local standard unless they have a formal agreement or contract with another organization. Check the organization that will be responsible for the implementation of the additional local standard.

Local social services staff

Provide the name of the unit and contact person: Day Care Unit Ann Ricciardiello

Contracted agency

Provide the name of the agency and contact person:

4. Are there any costs associated with the additional standard?

Yes No

Note: Costs associated with the additional standard cannot be passed on to the provider.

5. Describe the steps for evaluating whether the additional local standard has been met.

The standard is "met" when all the applicable criminal background checks are conducted. The standard is considered to be "not met" if the applicable criminal background checks cannot be completed due to the lack of cooperation of the provider or other person who is required to participate.

6. Indicate how frequently reviews of the additional standard will be conducted. Check all that apply.

Legally-Exempt Programs:

- Initial enrollment During the 12-month enrollment period
 Re-enrollment Other

7. In the space below, described the procedures the district will use to notify the Legally-Exempt Caregiver Enrollment Agency (EA) as to whether the legally-exempt provider is in compliance with the additional local standards. Districts must notify the EA within 25 days from the date they received the referral from the EA. (Districts need to describe this procedure only if the additional local standard is applied to legally-exempt child care providers.)

The Day Care unit remits the results of the criminal background check to Child Care Solutions.

8. Describe the justification for the additional standard in the space below.

To ensure, as much as possible, the health and safety of children in care.

APPENDIX R

Payment to Child Care Providers for Absences (Optional)

The following providers are eligible for payment for absences (check all that are eligible):

- Day Care Center
- Legally-Exempt Group
- Group Family Day Care
- School Age Child Care
- Family Day Care

Our county will only pay for absences to providers with which the district has a contract or letter of intent.

- Yes
- No

Base period (check one) 3 months 6 months

Number of absences allowed during base period:

Period	Routine Limits (# of days)	Extenuating Circumstances (# of days)	Total Number of Absences Allowed (# of days)
In a month	12	3	15
Base period	12	8	20

List reasons for absences for which the district will allow payment:

any legitimate reason

List any limitations on the above providers' eligibility for payment for absences:

Absences above the routine limit for individuals in employment activities must be preapproved by Jobsplus! and are limited to those in 415.6(b)(4).

Note: Legally-exempt family child care and in-home child care providers are **not** eligible to receive payment for absences.

APPENDIX S

Payment to Child Care Providers for Program Closures (Optional)

The following providers are eligible for payment for program closures:

- Day Care Center Legally-Exempt Group
 Group Family Day Care School Age Child Care
 Family Day Care

The county will only pay for program closures to providers with which the district has a contract or letter of intent.

- Yes No

Enter the number of days allowed for program closures (maximum allowable time for program closures is five days).

5

List the allowable program closures for which the county will provide payment.

On the following legal holidays: New Years Day, Fourth of July, Labor Day, Thanksgiving and Christmas. Also on Snow Days/Emergency Closings.

Note: Legally-exempt family child care and in-home child car providers are **not** allowed to be reimbursed for program closures.

APPENDIX T

Transportation, Differential Payment Rates, Enhanced Market Rate for Legally-Exempt and In-Home Providers, and Sleep (Optional)

Transportation

Describe any circumstances and limitations your county will use to reimburse for transportation. Include what type of transportation will be reimbursed (public vs. private) and how much your county will pay (per mile or trip). Note that if the county is paying for transportation, the Program Matrix in WMS should reflect this choice.

1. Day Care centers providing transportation on center-owned buses for children in need of transportation will be paid the agreed upon billed rate
2. For those individuals required to participate in employment activities a bus pass for the child may be issued if a (a) special need is established or (b) the family's cash grant is insufficient to cover the child's transportation expenses
3. When determined by the Children's Services Administration that it is essential and no other form of transportation is available for a protective child care recipient, a taxi will be authorized.

Differential Payment Rates

Indicate the percentage above the market rate your county has chosen.

- Accredited programs may receive a differential payment up to _____ % above market rate.
- Care during non-traditional hours may be paid up to _____ % above market rate.
- Limitations to the above differentials:

Payments may not exceed 15% above market rate. However, if your district wishes to establish a payment rate that is more than 15% above the applicable market rate, describe below why the 15% maximum is insufficient to provide access within the district to accredited programs and/or care provided during non-traditional hours.

Enhanced Market Rate for Legally-Exempt Family and In-Home Child Care Providers

Indicate if the district is electing to establish a payment rate that is in excess of the enhanced market rate for legally-exempt family and in-home child care providers who have annually completed 10 or more hours of training and the training has been verified by the legally-exempt caregiver enrollment agency.

- No.
- Yes. Our market rate will not exceed 75% of the child care market rate established for registered family day care.

Sleep

The following describes the standards that will be used in evaluating whether or not to pay for child care services while a parent or caretaker that works a second or third shift sleeps, as well as any limitations pertaining to payment:

Up to 8 hours will be allowed for a parent or caretaker working a third shift. Decisions on the amount will generally be based on the ages of the children, work schedule of parent(s).

Indicate the number of hours allowed by your district (maximum number of hours allowed is eight).

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APPENDIX U

Child Care Exceeding 24 Hours, Child Care Services Unit, Waivers, and Breaks in Activities (Optional)

Child Care Exceeding 24 Hours

Child Care services may exceed 24 consecutive hours when such services are provided on a short-term emergency basis or in other situations where the caretaker's approved activity necessitates care for 24 hours on a limited basis. Check below under what circumstances the county will pay for child care exceeding 24 hours.

- On a short-term or emergency basis
 The caretaker's approved activity necessitates care for 24 hours on a limited basis

Describe any limitations for payment of child care services that exceed 24 consecutive hours.

Child Care Services Unit (CCSU)

Indicate below if your county will include 18-, 19-, or 20-year-olds in the CCSU, which is used in determining family size and countable family income.

The district will include the following in the CCSU (check all that apply).

- 18-year-olds 19-year-olds 20-year-olds

OR

The district will only include the following in the CCSU when it will benefit the family (check all that apply)

- 18-year-olds 19-year-olds 20-year-olds

Describe the criteria your district will use to determine whether or not 18-, 19-, or 20-year olds are included in the CCSU.

Waivers

Districts have the authority to request a waiver of any regulatory provision that is non-statutory. Describe and justify why your county is requesting a waiver.

Breaks in Activities

Districts may pay for child care services for low income families during breaks in activities either for a period not to exceed two weeks or for a period not to exceed four weeks when child care arrangements would otherwise be lost and the subsequent activity is expected to begin within that period. Indicate below if your county will make such payments (check one).

- Two weeks Four weeks

Districts may provide child care services while the caretaker is waiting to enter an approved activity or employment or on a break between approved activities. The following low income

families are eligible for child care services during a break in activities (check any that are eligible):

- Entering an activity
- Waiting for employment
- On a break between activities